



STATE OF ARKANSAS
NON-PARTICIPATING
MANUFACTURER QUARTERLY
CERTIFICATION FORM

CERTIFICATION YEAR 2021 <small>*Due Within 20 Days of Conclusion of Each Calendar Quarter</small>

CERTIFICATION TYPE:	
Original	Amended

REPORTING PERIOD:			
First Quarter	Second Quarter	Third Quarter	Fourth Quarter

BUSINESS INFORMATION:	
Business Name:	Contact Person:
Address:	City:
State/Country:	Zip Code:
Telephone:	Email:

BRAND SALES:	
Brand Family:	Units Sold During Calendar Quarter:
Total Number of Units Sold:	

→ To determine the number of units sold for roll-your-own tobacco products, divide the total number of ounces of each brand family by .09. For example, 18 ounces of roll-your-own is 200 units sold (18 ÷ .09 = 200).

QUALIFIED ESCROW ACCOUNT:	
Financial Institution:	Representative's Name:
Address:	City:
State:	Zip Code:
Email:	Escrow Account Number:
Arkansas Sub-Account Number:	Date of Escrow Agreement:

ESCROW OBLIGATION FOR SALES PERIOD:	
Total number of units sold in Arkansas during calendar quarter:	
Statutory rate per cigarette (\$0.0188482), as adjusted for inflation:	\$0.0379765
Multiply units sold by the adjusted statutory rate per cigarette:	
Amount Deposited for Calendar Quarter:	

→ An account statement or letter from the escrow agent must be included with this Certification Form. This account statement or letter must indicate: (1) the amount deposited, as indicated above and (2) the date of deposit.

→ The total amount to be deposited into the Qualified Escrow may need to be recalculated at the time of the Annual Certification.

ADDITIONAL INFORMATION:		
Is the registered agent identified on the company's most recent Annual Certification still the registered agent for the NPM?	Yes	No
Is the escrow agreement provided with the company's most recent Annual Certification still accurate, in force, and unchanged?	Yes	No
Does the company submitting this form have a bond in place to cover escrow liability for sales made in Arkansas during the sales year?	Yes	No

If you answer to either of the preceding questions was "no," please explain.

Explanation:

CRIMINAL ACTIVITY:		
Has the company submitting this form or any of its affiliates, sales entity affiliates, officers, or directors been indicted, pled guilty or nolo contendere to or been found guilty of a felony crime relating to the sale, taxation, or distribution of cigarettes or other tobacco products?	Yes	No

If your answer to the preceding question was "yes," please explain.

Explanation:

CRIMINAL ACTIVITY CONTINUED:		
Is the company submitting this form or any of its affiliates, sales entity affiliates, officers, or directors subject to legal proceedings involving a felony violation of any state or federal law relating to the sale, taxation, or distribution of cigarettes or other tobacco products?	Yes	No

If your answer to the preceding question was "yes," please explain.

Explanation:

DIRECTORY STATUS:		
Has the company submitting this form, an affiliate of this company, or any of its brand families been removed, excluded, or denied listing from the approved-for-sale directory of any state or voluntarily withdrawn an approved-for-sale directory certification since its last certification?	Yes	No

If your answer to the preceding question was “yes,” please explain.

Explanation:

SIGNATURE:	
Authorized Designee:	Title:
Designee Signature:	Date:

→ *The knowing submission of false or inaccurate information to the Office of the Arkansas Attorney General could result in a civil penalty being issued against you in an amount up to \$10,000.00.*

NOTARY:
Subscribed and Sworn Before Me on this Date:
Signature of Notary Public:
City or County of:
My Commission Expires:

MAIL THE COMPLETED CERTIFICATION FORM TO:	
Office of the Arkansas Attorney General ATTN: Tobacco Division 323 Center Street, Suite 200 Little Rock, Arkansas 72201	→ <i>Certification Forms, including attachments, must be received within 20 days of the conclusion of each calendar quarter.</i> → <i>Certification Forms will be returned and left unprocessed unless all fields are completed and all required attachments have been received.</i>